

The Energy Budget of the Polar Atmosphere in MERRA

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1 ABSTRACT

2 A quantitative estimate of the atmospheric energy budget is useful for understanding
3 rapidly changing conditions in the high latitudes. Here, components of the atmospheric energy
4 budget from the Modern Era Retrospective-analysis for Research and Applications (MERRA)
5 are evaluated in polar regions for the period 1979-2005 and compared with previous estimates
6 and in situ observations. For the annual mean, the north polar cap bounded by 70°N is
7 characterized in MERRA by a horizontal flux convergence of $99\pm4 \text{ W m}^{-2}$, a surface net flux of
8 $19\pm1 \text{ W m}^{-2}$ and a loss of $110\pm1 \text{ W m}^{-2}$ from the top of the atmosphere (TOA). The south polar
9 cap is characterized by a convergence of $118\pm6 \text{ W m}^{-2}$, a surface net flux of $3\pm1 \text{ W m}^{-2}$ and a
10 TOA loss of $101\pm1 \text{ W m}^{-2}$. Substantial differences are found between MERRA and previous
11 estimates of the net surface energy flux. In the Arctic, comparison with observations from the
12 Surface Heat Budget of the Arctic ice camp field study indicates spring time radiative flux
13 differences that are associated with an overly simplistic representation of sea ice albedo, while a
14 downwelling longwave flux bias of 12 W m^{-2} is found throughout the year. In the Antarctic,
15 deficiencies in the representation of the subsurface energy flux result in an annual mean
16 imbalance over grounded ice. Comparisons with values observed at South Pole indicate large
17 discrepancies in downwelling radiative flux components. Despite these differences, MERRA
18 compares favorably to previous studies of the annual cycle of atmospheric energy transport,
19 convergence, and the total atmospheric energy tendency in the polar regions.

23 **1. Introduction**

24 The objective of this study is to examine the performance of the Modern Era
25 Retrospective-analysis for Research and Applications (MERRA) in representing the high latitude
26 atmospheric energy budget. MERRA was recently released by NASA's Global Modeling and
27 Assimilation Office (GMAO). This effort, as well as a companion paper examining the
28 atmospheric moisture budget (Cullather and Bosilovich, 2010), represent an initial examination
29 of this reanalysis in the polar regions.

30 A quantitative knowledge of the flow, storage, and conversion of energy within the
31 climate system has evolved with time as a result of contributions made by improvements in the
32 observing system and by numerical atmospheric reanalyses (e.g., Fasullo and Trenberth, 2008).
33 In the polar regions the energy budget and its variability is frequently used as a diagnostic for
34 understanding rapidly changing conditions including glacial mass balance and perennial sea ice
35 reduction (e.g., Porter et al., 2010). As noted in Cullather and Bosilovich (2010), numerical
36 reanalyses are widely used in polar research for evaluating polar processes, as boundary
37 conditions for limited area atmosphere and ice/ocean models, and as a first-order validation for
38 climate models. However reanalyses inevitably contain inaccuracies resulting from the
39 limitations in the observing system, inconsistencies between differing observations, and
40 incomplete knowledge of the physical processes that are represented in the assimilating weather
41 forecast model. In particular, surface albedo characteristics over polar oceans and high latitude
42 cloud properties are both associated with important but complex energy feedback mechanisms
43 that have historically been poorly simulated (Randall et al., 1998). An initial evaluation of the
44 high latitude energy budget in a reanalysis record is therefore a constructive activity.

45 Some questions of interest pertaining to this study are as follows.

- 46 • What are the spatial and temporal patterns of energy budget components in MERRA, and
47 how do they compare with previous studies?
48 • How do MERRA surface fluxes compare with in situ field studies?
49 • What is the nature of adjustment terms in the energy budget?

50 Section 2 provides an overview of the MERRA data set and method. An evaluation of the
51 atmospheric energy balance in polar regions is given in section 3. A discussion of these
52 comparisons is then given in section 4.

53

54 **2. Numerical sets and method**

55 A description of the MERRA system is given by Cullather and Bosilovich (2010) and
56 Rienecker et al. (2010), and is summarized here. The MERRA collection was made using the
57 Data Assimilation System component of the Goddard Earth Observing System (GEOS DAS,
58 Rienecker et al., 2009), and covers the modern satellite era from 1979 to the present. The
59 assimilation system utilizes the GEOS model, version 5 (GEOS-5)– a finite-volume atmospheric
60 general circulation model (AGCM) that is used for operational numerical weather prediction. For
61 MERRA, the GEOS DAS was run at a horizontal resolution of $2/3^\circ$ longitude by $1/2^\circ$ latitude
62 and 72 hybrid-sigma coordinate vertical levels to produce an observational analysis at 6-hour
63 intervals. Boundary conditions include climatological aerosol and solar forcing. Sea surface
64 temperature and sea ice are linearly interpolated in time from weekly 1-degree resolution
65 Reynolds fields (Reynolds, 2002). A 50 percent sea ice fraction threshold is used to distinguish
66 ice from open water for the radiative transfer model. The atmospheric model is coupled to a
67 catchment-based hydrologic model on land (Koster et al., 2000) and a sophisticated multi-layer

68 snow model (Stieglitz et al., 2001) that is coupled to the catchment hydrology. Land-surface
 69 albedos are derived from MODIS retrievals (Moody et al., 2005).

70 MERRA utilizes the incremental analysis update assimilation method (IAU; Bloom et al.,
 71 1996), which is described in Cullather and Bosilovich (2010). The IAU variable is a tendency
 72 that quantifies the difference between an initial 6-hourly analysis field and the background
 73 forecast model state. The forecast model is then run over the six-hour interval using this
 74 tendency as an additional forcing term. The resulting MERRA product is then composed of
 75 dynamically-consistent one-hourly fields that are incrementally corrected to observation every
 76 six hours. The sum of IAU variables quantify the adjustment terms in atmospheric balance
 77 equations. Thus atmospheric budgets– as they are constructed in the GEOS-5 AGCM– and their
 78 incremental adjustments are maintained within MERRA to the accuracy limited by round-off and
 79 data compression errors.

80 From Cullather and Bosilovich (2010), the atmospheric moisture budget for MERRA
 81 may be written as

$$\begin{aligned}
 & \frac{\partial(W_v + W_l + W_i)}{\partial t} + \nabla \cdot \left\{ \int_{P_{top}}^{P_{sfc}} \frac{(q_v + q_l + q_i)}{g} \cdot \tilde{\mathbf{V}} dp \right\} \\
 & = E - P + \left. \frac{\partial W_v}{\partial t} \right|_{CHM} + \left. \frac{\partial(W_v + W_l + W_i)}{\partial t} \right|_{FIL} + \mathcal{E}_{IAU(M)}
 \end{aligned} \tag{1}$$

83 where

$$W_{(v,l,i)} = \frac{1}{g} \int_{P_{top}}^{P_{sfc}} q_{(v,l,i)} dp \ . \tag{2}$$

85 Here, W_v is precipitable water, W_l is total cloud liquid condensate in the atmospheric column,
 86 W_i is total cloud ice condensate in the atmospheric column, q_v is specific humidity, q_l is cloud
 87 liquid water mixing ratio, q_i is cloud ice mixing ratio, P_{sfc} is surface pressure, P_{top} is the fixed

88 pressure of the top model level which is 0.01 hPa, $\tilde{\mathbf{V}}$ is the horizontal wind vector, and g is the
 89 gravity constant. The symbol E represents the net of evaporation minus deposition of hoar frost,
 90 P is total (solid plus convective and large-scale liquid) precipitation, and $\varepsilon_{IAU(M)}$ is the quantity
 91 imposed by the incremental analysis update on the moisture budget. The first term on the left-
 92 hand-side represents a temporal derivative and is given by the summation of three MERRA
 93 variables for each water species denoting contributions from model dynamics, physical
 94 parameterizations, and the IAU. On the right-hand side, the term denoted by “*CHM*” represents a
 95 parameterized source of water vapor in the middle atmosphere from the model chemistry routine
 96 and is small (Suarez et al., 2010). The notation “*FIL*” refers to tendencies associated with the
 97 “filling” of spurious negative water, which was found to be negligible.

98 Following a form similar to Trenberth (1997), the total energy equation integrated over
 99 the atmospheric column for MERRA may be written as

$$100 \quad \frac{\partial A_E}{\partial t} + \nabla \cdot \tilde{\mathbf{F}}_A = R_{top} + F_{sfc} + L_v \frac{\partial W_v}{\partial t} \Big|_{CHM} + \left[L_v \frac{\partial W_v}{\partial t} - L_f \frac{\partial W_i}{\partial t} \right]_{FIL} + \varepsilon_{IAU(E)} - Q_{NUM}. \quad (3)$$

101 Note the contribution of latent heating terms from equation (1) in (3). For the MERRA energy
 102 budget, the time rate of change in total atmospheric energy storage A_E is expressed as

$$103 \quad \frac{\partial A_E}{\partial t} = L_v \frac{\partial W_v}{\partial t} - L_f \frac{\partial W_i}{\partial t} + \frac{\partial}{\partial t} \left\{ \frac{1}{g} \int_{P_{top}}^{P_{sfc}} (c_p T_v + \Phi_S + k) dp \right\}, \quad (4)$$

104 where the assumed constant L_v is the latent heat of vaporization, L_f is the latent heat of fusion,
 105 c_p is the specific heat of the atmosphere at constant pressure, T_v is virtual temperature, Φ_S is
 106 surface geopotential, and $k = \frac{1}{2} |\tilde{\mathbf{V}}|^2$ is kinetic energy. The product $c_p T_v$ is referred to as virtual
 107 enthalpy. The divergence term may be expanded as follows:

108
$$\nabla \cdot \tilde{\mathbf{F}}_A = \nabla \cdot \frac{1}{g} \int_{P_{top}}^{P_{sfc}} (L_v q_v - L_f q_i) \tilde{\mathbf{V}} dp + \nabla \cdot \frac{1}{g} \int_{P_{top}}^{P_{sfc}} c_p T_v \cdot \tilde{\mathbf{V}} dp + \nabla \cdot \frac{1}{g} \int_{P_{top}}^{P_{sfc}} \Phi \cdot \tilde{\mathbf{V}} dp + \nabla \cdot \frac{1}{g} \int_{P_{top}}^{P_{sfc}} k \cdot \tilde{\mathbf{V}} dp \quad , \quad (5)$$

109 where Φ is geopotential within the atmospheric column. The second integral on the right hand
 110 side of (5) is referred to as the divergence of dry static energy; the first three integrals on the
 111 right hand side are referred to as the divergence of moist static energy. The boundary conditions
 112 of (3) are given as

113
$$R_{top} = SW_{top} + LW_{top} \quad , \quad (6)$$

114 where SW_{top} and LW_{top} are the net downward shortwave and longwave radiative fluxes at the top
 115 of the atmosphere (TOA), and

116
$$F_{sfc} = Q_H + Q_E + L_f P_s - R_{sfc} \quad , \quad (7)$$

117 where Q_H and Q_E are the upwards surface turbulent sensible and latent heat fluxes, the product
 118 $L_f P_s$ is latent heating resulting from solid precipitation, and R_{sfc} is the net downward radiative
 119 flux at the surface. The term for latent heat from solid precipitation is typically not included in
 120 other studies. Again, $\varepsilon_{IAU(E)}$ is the tendency imposed by the incremental analysis update, in this
 121 case for the energy budget, and represents the summation of latent heat, virtual enthalpy, kinetic,
 122 and geopotential energy term contributions. Finally, Q_{NUM} in equation (3) denotes the
 123 contribution of spurious residuals resulting from inertial terms, the discretization of the
 124 thermodynamic equation, coordinate remapping during model integration, and time-truncation
 125 errors. The global mean of these terms are used by the dynamical core of the assimilating model,
 126 however the horizontal distribution is locally significant— particularly over steep topography—
 127 and must be accounted for. The quantity Q_{NUM} is then computed from MERRA variables as the
 128 net difference between local contributions and the global mean. The relation between MERRA
 129 variables and equation notation is detailed in the appendix.

130 The approach of this study is to evaluate MERRA values against prior studies for large-
131 scale areal averages of the terms in (3-7) over fixed polar regions as shown in Fig. 1, with a
132 particular focus on the polar caps. Historically, budgets of the polar caps have been defined using
133 the 70° parallels as boundaries that roughly correspond to geographical contrasts between land
134 and ocean and a local maximum in the coverage of the in situ observing network. An Arctic
135 Ocean domain is also utilized to roughly correspond with a recent study (Serreze et al., 2007).
136 Finally, a Southern Ocean fixed domain is determined by the farthest north wintertime sea ice
137 edge.

138 Studies for comparison include Nakamura and Oort (1988), Genthon and Krinner (1998),
139 Serreze et al. (2007), and Porter et al. (2010). Nakamura and Oort (1988) produced budget
140 estimates for both polar caps using the ocean flux values of Levitus (1984), composite satellite
141 data from the period 1966-1977, and atmospheric circulation statistics from Oort (1983) which
142 are largely based on the upper air station network. Nakamura and Oort (1988) found the
143 observational network insufficient for computing atmospheric energy transport into the south
144 polar cap and instead produced output from the NOAA Geophysical Fluid Dynamics Laboratory
145 GCM. Genthon and Krinner (1998) used the 15 year re-analysis of the European Centre for
146 Medium-Range Weather Forecasts (ECMWF) (ERA-15; Gibson et al., 1997) for the period
147 1979-1993 to evaluate the south polar cap. Serreze et al. (2007) examined the north polar cap and
148 Arctic Ocean domains using the more recent 40 year re-analysis of ECMWF (ERA-40; Uppala et
149 al., 2005) and the National Centers for Environmental Prediction/National Center for
150 Atmospheric Research Reanalyses (NCEP/NCAR; Kalnay et al., 1996) for the period 1979-2001.
151 Serreze et al. (2007) also examined TOA radiative fluxes from the Earth Radiation Budget
152 Experiment for the study period February 1985 to April 1989 (ERBE; Barkstrom, 1984). Porter

153 et al. (2010) similarly examined the north polar cap energy budget for the period November 2000
154 to October 2005 using the 25-year Japanese Re-Analysis (JRA-25; Onogi et al., 2007), and
155 satellite data from the Clouds and the Earth's Radiant Energy System (CERES; Wielicki et al.,
156 1996) product. In support of these budget comparisons, the evaluation of near-surface state
157 variables against station observations is also instructive.

158 Evidence of an evolving climate system in polar regions— particularly for the Arctic (e.g.,
159 Porter et al., 2010)— motivate an exclusion of the most recent years in the time series. The results
160 presented here are for the period 1979-2005, however MERRA values concurrent with previous
161 studies are applied when useful. When appropriate, the standard deviation of mean estimates is
162 presented as the uncertainty value (i.e., the mean \pm the standard deviation).

163

164 **3. Atmospheric energy budget**

165 *a. Balance adjustment quantities*

166 Terms of the atmospheric energy budget averaged over the period 1979-2005 from
167 MERRA are shown in Table 1 for the polar regions defined in Fig. 1. The far right column
168 indicates budget adjustment quantities. As noted earlier, artificial moisture filling and chemistry
169 parameterization terms of the energy budget from equation (3) have essentially zero magnitude.
170 Not shown, the spatial pattern of the spurious residual Q_{NUM} is characterized by alternating
171 positive and negative values in regions of steep topography. Averages taken over limited areas
172 may produce aliasing of these oscillating values. For example, Q_{NUM} averages $1.5 \pm 0.2 \text{ W m}^{-2}$
173 over the Greenland Ice Sheet. But in general, the incremental analysis update is the largest
174 adjustment quantity of interest in the atmospheric energy budget, and its spatial patterns are
175 shown in Fig. 2. Here, positive values indicate an energy surplus in the balance equation while

176 negatives indicate a deficit. The magnitude is a measure of closure obtainable by physical terms.
177 The spatial patterns shown in Fig. 2 are complex, vary with time, and are typically dissimilar to
178 the patterns of the IAU for the atmospheric moisture budget shown by Cullather and Bosilovich
179 (2010).

180 As noted previously, the total IAU for the energy budget is the summation of
181 contributions from latent heat, virtual enthalpy, kinetic, and geopotential energy terms. Of these
182 four, the contribution to the IAU term from virtual enthalpy is large for monthly and annual
183 averages in both polar cap regions, while the IAU from latent heating is also significant for the
184 north polar cap. For the Northern Hemisphere polar region, negative values for the IAU are
185 found over the Arctic Ocean, while positive values are present over surrounding lower latitudes.
186 Mean annual amounts less than -40 W m^{-2} are present in the vicinity of the North Pole with
187 smaller magnitudes over Greenland and marginal seas. Seasonally, these magnitudes are larger in
188 summer than in winter, however the values do not approach the local imbalances of greater than
189 100 W m^{-2} that are shown for the ERA-40 in Serreze et al. (2007). For the average over the north
190 polar cap, the IAU ranges from -4 W m^{-2} in February to -17 W m^{-2} in June, as may be seen in
191 Fig. 3(a).

192 In Cullather and Bosilovich (2010), the MERRA IAU for the atmospheric moisture
193 budget was shown to be characterized by closed contours denoting upper air stations in coastal
194 Greenland and Antarctica. Although signatures of upper air station locations are not as evident in
195 the MERRA energy budget IAU as in the IAU field for the atmospheric moisture budget, a
196 dipole is apparent in Fig. 2(a) in the vicinity of Hudson Strait with centers near Kuujjuaq (58°N ,
197 68°W) and Cape Dorset (64°N , 77°W). Averaged over the north polar cap and the period 1979-
198 2005, $\varepsilon_{IAU(E)}$ is -11 W m^{-2} . The temporal variability of the IAU for the energy budget in the

199 Arctic also differs markedly from the IAU of the atmospheric moisture budget. Changes in the
200 atmospheric moisture budget IAU were largely found to be associated with changes to the
201 observing system in November 1998 and October 2001. In particular, the November 1998
202 change is associated with the introduction of AMSU and has been noted in surface moisture
203 fluxes in other reanalyses (Saha, 2010). In contrast, the MERRA energy budget IAU time series
204 for the north polar cap indicates changes which are not concurrent with satellite observing
205 system changes. The magnitude of the energy budget IAU averages less than 10 W m^{-2} for the
206 period 1979-1991, approximately 18 W m^{-2} over the period 1992-1997, and 9 W m^{-2} thereafter.
207 These shifts may be due to changes in the surface observing system or in the atmospheric
208 circulation.

209 In the Southern Hemisphere, the MERRA IAU term for the energy budget as shown in
210 Fig. 2(b) has a larger magnitude than for the north polar cap, with magnitudes of greater than
211 80 W m^{-2} over Victoria Land and regions of northern Queen Maud Land in East Antarctica.
212 Over the south polar cap, the IAU ranges from -27 W m^{-2} in February to -20 W m^{-2} in August
213 and September. The annual average IAU is comparatively smaller over the lower latitudes of the
214 Southern Ocean as seen in Fig. 2(b). There is a considerable annual cycle for the Southern Ocean
215 domain ranging from -40 W m^{-2} in January and February to -9 W m^{-2} in June. The field mostly
216 indicates energy deficits, which are largest on the annual cycle during the equinoxes. The year to
217 year time series for the south polar cap is highly variable and ranges from -37 W m^{-2} in 1983 to
218 -9 W m^{-2} in 1998. The IAU time series for the south polar cap energy budget is uncorrelated
219 with that of the north polar cap, and its relation to changes in the observing system is not as
220 readily apparent. Over the data-sparse Southern Ocean domain there is a discontinuity in the IAU

221 time series in 1998 that is likely associated with the introduction of AMSU. The Southern Ocean
222 IAU averages -21.8 W m^{-2} prior to 1998, and -26.7 W m^{-2} thereafter.

223

224 *b. Total atmospheric energy tendency*

225 In both the north and south polar caps, the MERRA total energy tendency is near zero
226 for annual averages and is small for months of solstice, as shown in Table 1. But there is an
227 oscillatory annual cycle for the tendency terms as seen in Fig. 3. For the north polar cap, the
228 tendency term reaches a maximum of $26 \pm 11 \text{ W m}^{-2}$ in April and a minimum of $-26 \pm 9 \text{ W m}^{-2}$ in
229 September. This annual cycle agrees very closely with values from other reanalyses as reported
230 by Porter et al. (2010), Serreze et al. (2007), and from the observational study of Nakamura and
231 Oort (1988). The RMS difference of monthly means with MERRA is only 4 W m^{-2} for both
232 NCER/NCAR and the Japanese Re-Analysis (JRA-25; Onogi et al., 2007) as reported by Porter
233 et al. (2010), less than 1 W m^{-2} for the ERA-40 as reported by Serreze et al. (2007), but
234 10 W m^{-2} for Nakamura and Oort (1988). In general the reanalyses are more similar to each other
235 than to the Nakamura and Oort time series.

236 For the south polar cap, the total energy tendency in MERRA ranges from a minimum of
237 $-16 \pm 12 \text{ W m}^{-2}$ in April to $30 \pm 16 \text{ W m}^{-2}$ in November. As seen in Fig. 3(b), the annual cycle is
238 less sinusoidal than in the Northern Hemisphere, with the November peak offsetting an average
239 negative tendency that extends from January through July. The RMS difference with monthly
240 values reported by Nakamura and Oort (1988) as compared to MERRA is 13 W m^{-2} , although
241 each month is within the standard deviation of MERRA for the 1979-2005 period. As seen in
242 equation (4), the MERRA energy tendency incorporates the cloud ice latent heating and kinetic

243 energy terms which are not considered in other studies. For monthly means over the regions
244 examined, however, these terms are negligible.

245

246 *c. Energy convergence and transport*

247 The divergence term in equation (3) is similarly composed of contributions from latent
248 heat, virtual enthalpy, kinetic, and geopotential energy terms. For the north polar cap, the annual
249 cycle of energy convergence from MERRA consists of values greater than 100 W m^{-2} during
250 winter months September through March and a minimum of $72 \pm 10 \text{ W m}^{-2}$ in May, as seen in
251 Fig. 3(a). Porter et al. (2010) present annual cycles of energy convergence computed as a
252 residual using several combinations of reanalyses and radiative flux data sets for the period
253 2000-2005, while Serreze et al. (2007) present ERA-40 and NCEP/NCAR reanalysis average
254 monthly values for the period 1979-2001. While there is agreement of larger energy convergence
255 in winter, there is considerable variability among the data sets on the months of the minimum
256 and maximum value, with May providing a spread of 40 W m^{-2} among the various methods.
257 MERRA values concurrent with these previous studies are found within this large range.

258 Figure 4(a) indicates that the average poleward energy transport across 70°N is zonally
259 asymmetric and is focused at preferred longitudes which are associated with the mean longwave
260 circulation patterns in the middle troposphere (Serreze et al., 2007). In comparison to energy
261 transports across 70°N from ERA-40 as reported by Serreze et al. (2007), MERRA transports
262 shown in Fig. 4(a) are comparable but with some differences. First, the poleward (positive) flux
263 centered near 315°E (45°W) has a smaller zonal extent than is shown in Serreze et al. (2007).
264 This may be due to the higher spatial resolution of MERRA and the role of the Greenland Ice
265 Sheet topography in defining the mid-tropospheric trough pattern over eastern North America.

266 Second, the wintertime poleward transport near 150°E is shown in MERRA to be greater than
267 $20 \cdot 10^9 \text{ W m}^{-1}$. This is stronger by one contour level ($5 \cdot 10^9 \text{ W m}^{-1}$) than that shown by Serreze et
268 al. for ERA-40. But in general the average meridional transport patterns of MERRA and ERA-40
269 are remarkably similar.

270 In the Southern Hemisphere, prior studies on atmospheric energy convergence are not as
271 recent. However comparisons to MERRA may be made using Nakamura and Oort (1988) and
272 Genthon and Krinner (1998). Using GCM output, Nakamura and Oort (1988) estimated a mean
273 annual energy convergence across 70°S of 95 W m^{-2} , which is 23 W m^{-2} less than shown for
274 MERRA in Table 1. As seen in Fig. 3(b), the annual cycle in MERRA contains a broad
275 maximum over winter months and a short period of minimum of values less than 100 W m^{-2} in
276 December, January, and February. In contrast Nakamura and Oort (1988) indicate lower amounts
277 in the autumn, and the annual cycle is generally more sinusoidal. Nakamura and Oort (1988) and
278 MERRA monthly energy convergence values are comparable over the months June to October
279 but differ by 45 W m^{-2} in January. More recently, Genthon and Krinner (1998) produced
280 seasonal averages and zonal distributions of energy transport using the ERA-15 reanalysis for the
281 period 1979-1993. The annual atmospheric energy convergence derived from ERA-15 of
282 81 W m^{-2} is considerably smaller than corresponding values of either MERRA or Nakamura and
283 Oort (1988). Seasonally, the largest differences between MERRA and ERA-15 values from
284 Genthon and Krinner (1998) are in autumn. Energy convergence for the south polar cap for
285 March-April-May averages 134 W m^{-2} in MERRA, while Genthon and Krinner (1998) reported
286 79 W m^{-2} . The spatial distribution of energy transports along the 70°S parallel is strongly
287 dependent on the meandering of the coastline, such that spatial resolution and topography are
288 significant. Thus the differences between MERRA and ERA-15, though large, may partially

289 result from differing model grids. Additionally, ERA-15 was known to employ a defective
290 topography over the ice sheet. A visual inspection of Genthon and Krinner (1998) results
291 indicates that the ERA-15 mean annual poleward transport is less than MERRA near 30°E, an
292 intersection point between the 70°S parallel and the East Antarctic coastal escarpment. For this
293 location, Genthon and Krinner (1998) plot amounts of between 2 and $3 \cdot 10^9 \text{ W m}^{-1}$ while
294 MERRA values are greater than $5 \cdot 10^9 \text{ W m}^{-1}$. Additionally Genthon and Krinner indicate an
295 annual mean equatorward energy transport in the Ross Sea, while MERRA indicates a poleward
296 flux. MERRA and ERA-15 share some general characteristics of the meridional energy transport
297 including a seasonal change in the south Pacific region between 180°E and 270°E from poleward
298 during winter months to equatorward in summer, as shown in Fig. 4(b). The figure also shows
299 an opposing seasonal reversal between 270°E and 300°E in MERRA, and this is also reflected in
300 Genthon and Krinner (1998).

301

302 *d. TOA radiative fluxes*

303 For the north polar cap, MERRA TOA radiative fluxes are compared to ERBE (Serreze
304 et al., 2007) and measurements from CERES (Porter et al., 2010). With the exception of
305 midsummer months, the Arctic TOA radiative flux in MERRA is mainly directed upwards
306 ($R_{top} < 0$), with an annual average of $-110 \pm 1 \text{ W m}^{-2}$. Annual estimates from ERBE and CERES
307 are within the standard deviation of this value. On the monthly time scale, the largest differences
308 are for the month of May, where the MERRA 1979-2005 value of $-23 \pm 2 \text{ W m}^{-2}$ compares with
309 -53 W m^{-2} in ERBE and -37 W m^{-2} in CERES. Using MERRA averages concurrent with these
310 satellite records, MERRA is less than satellite estimates for May by 29 W m^{-2} as compared to
311 ERBE and by 12 W m^{-2} as compared to CERES. In July, CERES indicates a net downwards

312 TOA flux of 21 W m^{-2} compared to a 1 W m^{-2} upwards flux in MERRA, while ERBE and
313 MERRA concurrent July values are equal. For other months, the differences are small.

314 For the south polar cap, the TOA net radiative flux remains negative throughout the year.
315 Both the ERBE data and the values from Nakamura and Oort (1988) indicate that the annual net
316 TOA radiative flux magnitude in MERRA is too large, and that the discrepancy is largest during
317 winter months. The 1979-2005 average net flux as shown in Table 1 for MERRA is
318 $-101 \pm 1 \text{ W m}^{-2}$. This compares with -90 W m^{-2} from the historical satellite data used in
319 Nakamura and Oort (1988), and -95 W m^{-2} from ERBE for the period February 1985 to April
320 1989 (Briegleb and Bromwich, 1998). The MERRA 1979-2005 annual average is by chance
321 equal to the 1985-1989 time period. For the months of June, July, and August, the average flux
322 from Nakamura and Oort (1988) is -131 W m^{-2} , and from ERBE, -134 W m^{-2} . For MERRA,
323 the corresponding value is -142 W m^{-2} for both 1979-2005 and 1985-1989 time periods. In
324 these winter months, the difference between MERRA and satellite values is almost entirely
325 composed of the outgoing longwave component.

326 The time series of MERRA TOA radiative fluxes indicate potentially spurious trends in
327 both polar regions. Over north and south polar caps, year to year variability in R_{top} resembles that
328 of the energy budget IAU. For the north polar cap, a maximum for R_{top} is reached in 1993 with
329 -107 W m^{-2} , with values as low as -112 W m^{-2} occurring in 1981 and 2005. MERRA TOA
330 fluxes for the south polar cap have an irregular time series with a range between minimum and
331 maximum values of 4 W m^{-2} . Over the Southern Ocean domain, a sharp change is noted after
332 1998. This is likely due to the introduction of AMSU data to the observing system as noted
333 earlier. Annual average values prior to 1998 are consistent with an average of $-81 \pm 1 \text{ W m}^{-2}$. For
334 the period 1999-2005 the MERRA average for the Southern Ocean is $-86 \pm 2 \text{ W m}^{-2}$.

335

336 *e. Surface fluxes*

337 Figure 5 shows the annual average surface net heat flux from MERRA for both polar
338 regions. In the Northern Hemisphere, small negative values of between 0 and -5 W m^{-2} are
339 found in a uniform field over nonglaciaded land surfaces, which is consistent with subsurface
340 warming in recent years (Serreze et al., 2007). Over the central Arctic Ocean, MERRA net
341 surface flux values are positive as expected but are exceptionally large. Values greater than
342 15 W m^{-2} are found in the central Arctic, and greater than 20 W m^{-2} in the approaches to the
343 North Atlantic. These annual values are extraordinary and likely not realistic. A comparison of
344 the averaged annual time series of monthly values with previous studies indicates largest
345 discrepancies occuring in summer months. The July 1979-2005 net surface flux for MERRA is
346 $-68 \pm 4 \text{ W m}^{-2}$ for the north polar cap as shown in Table 1. This compares with -85 W m^{-2} for
347 ERA-40 (Serreze et al., 2007) and -86 W m^{-2} for JRA-25 (Porter et al., 2010).

348 The MERRA energy budget contains the surface heat flux resulting from solid
349 precipitation which is generally not accounted for in other studies, however this contribution is
350 small compared to the differences in net flux values. The solid precipitation term is largest over
351 land surfaces. Solid precipitation latent heating averages $4.3 \pm 0.4 \text{ Wm}^{-2}$ over Greenland and
352 $2.3 \pm 0.1 \text{ W m}^{-2}$ over the Arctic Ocean domain.

353 Discrepancies in the surface flux fields are evaluated using observations from the Surface
354 Heat Budget of the Arctic ice camp field study in the Beaufort Sea in October 1997 to October
355 1998 (SHEBA; Uttal et al., 2002). MERRA surface flux values are compared with a compilation
356 of observed radiative and turbulent flux measurements by Duyenkerke and de Roode (2001). For
357 this data source, SHEBA latent heat flux observations were limited and are not considered. Using

358 the remaining energy budget components, a net flux comparison indicates a positive (upward)
359 bias in MERRA of 18 W m^{-2} for the months October to April, -1 W m^{-2} for May, and small
360 positive biases for the following summer months. There are three fundamental results of the
361 comparison. As shown in Fig. 6, substantial differences in the upwelling shortwave radiative flux
362 result from an overly simplistic representation of sea ice properties. Sea ice albedo is set to a
363 fixed value of 0.60 for MERRA. The ice surface observed in SHEBA has a much higher albedo
364 in springtime— monthly averages of 0.83 in March, April, and May, and 0.74 in June. This
365 difference contributes to an underestimate in the upwelling shortwave flux of 55 W m^{-2} in April,
366 80 W m^{-2} in May, and 56 W m^{-2} in June. In late summer, the observed surface albedo is
367 degraded by melting and becomes comparable to the MERRA value. In late autumn, freezing
368 and the introduction of solid precipitation again produces surface albedo differences between
369 MERRA and observation, however the incoming solar flux is reduced and the impact on the
370 upwelling shortwave is less consequential. The difference with observation in the upwelling
371 shortwave radiative flux for May is the largest of any monthly budget component.

372 The second result is a response in other MERRA surface energy budget terms in May to
373 the albedo bias. Surface temperatures over ice in MERRA are determined via energy balance,
374 and the underestimate of the surface albedo results in a perceived increased absorption of solar
375 energy and a surface warming. This likely results in the springtime MERRA sensible heat flux
376 bias, which is found to be 16 W m^{-2} in May. Other than the April, May, and June period, the
377 MERRA sensible heat flux difference with SHEBA observations is only 2 W m^{-2} . An intriguing
378 finding is a springtime negative bias with SHEBA observations in the downwelling shortwave
379 radiative flux. The MERRA downwelling shortwave is underestimated by 36 W m^{-2} in April,
380 37 W m^{-2} in May and 25 W m^{-2} in June. In other months this difference is about 1 W m^{-2} . This

381 bias is likely associated with general deficiencies in the representation of cloud properties. From
382 the seasonal timing of the bias, however, it is speculated that a portion of the amount is due to a
383 redistribution of cloudiness in the atmospheric column resulting from anomalous surface
384 warming. The large May bias in upwelling shortwave radiation is then compensated for by biases
385 in downwelling shortwave radiation and the sensible heat flux to produce the surface net energy
386 flux bias of -1 W m^{-2} .

387 Shown in Fig. 7 is the time series of hourly near-surface air temperature in comparison to
388 the observed time series from SHEBA for the period 1 February to 30 June, 1998. A temperature
389 bias in spring is readily apparent, with a difference of greater than 3.5°C in April and May before
390 the freezing value is reached in early June. In particular, the period 19 April to 10 May shows an
391 average bias of 6.1°C in MERRA. For daily averages, however, there is a good correlation
392 between MERRA and observation for the period shown ($r=0.95$). It may be seen in the time
393 series of hourly values shown Fig. 7 that the diurnal cycle in MERRA temperature has an
394 amplitude between 2 and 10°C , which begins abruptly on 28 March and continues unabated until
395 the freezing point is reached in June. The observed SHEBA diurnal cycle has a similar
396 amplitude, however the cycle is not as regular as in MERRA and there are periods of
397 considerable interruption, perhaps due to synoptic variability. These differences are suggestive of
398 difficulties in MERRA boundary layer parameterizations. Springtime air temperature biases are
399 found at Arctic station locations as well. For example, a comparison with Sachs Harbor (72°N ,
400 125°W) over the period 1979-2005 indicates an average of 4.9°C difference for April but only
401 1.9°C for the months August through March. A comparison with Barrow (71°N , 157°W)
402 similarly indicates an average bias in MERRA of 3.6°C for the spring months of March, April,
403 and May and 0.9°C for other months. But as shown in Fig. 8, MERRA performs well in a

404 comparison of monthly anomalies. The correlation between temperature anomalies at Barrow
405 and Jan Mayan (71°N, 9°W) is 0.99 for both stations. The time series shown in Fig. 8 contain
406 observations that cover the entire time period. Other stations in the Arctic with shorter and/or
407 interrupted records compare similarly well.

408 The third result in the comparison with SHEBA is a negative bias in the downwelling
409 longwave radiative flux throughout the year of 12 W m^{-2} . This quantity leads to the overall
410 positive bias in the net surface flux for summer, autumn, and winter months. As with the
411 springtime downwelling shortwave radiative flux bias, an inadequate representation of cloud
412 properties is implied. To evaluate this further, comparisons were made between MERRA and
413 SHEBA hourly microwave radiometer retrievals over the period 5 December 1997 to
414 9 September 1998. More than 5000 observations were made over the period. Retrievals of
415 precipitable water compare remarkably well to MERRA values as seen in Fig. 9(a), although
416 differences are apparent for small quantities in winter. For monthly intervals, the correlation
417 between MERRA and the hourly microwave radiometer precipitable water retrievals ranges from
418 $r=0.87$ in December 1997 to $r=0.96$ in May 1998. A consistent bias of 0.6 ± 0.2 mm in monthly
419 averages is found, which amounts to 31 percent of the observed average for January but only
420 3 percent for July. In contrast, the comparison to retrieved liquid water content shown in
421 Fig. 9(b) is less favorable. Cloud liquid water from the SHEBA microwave radiometer ranges
422 from an average of 0.017 mm in January 1997 to 0.106 mm in August 1998. Typical MERRA
423 values are about 45 percent of the microwave radiometer amounts. Although large discrepancies
424 have been noted between the SHEBA microwave radiometer values for liquid water path and
425 simultaneous aircraft measurements (Lin et al., 2001), the differences between MERRA and
426 SHEBA values exceed 50 percent. Additionally, the correlations of hourly liquid water path

427 values with MERRA over monthly time intervals are low and range from $r=0.14$ in April 1998 to
428 $r=0.55$ in January 1998. The presence or absence of cloud liquid water significantly alters the
429 downwelling longwave radiative flux. An underestimate of cloud liquid water in MERRA is
430 qualitatively consistent with differences in the surface net flux with observation.

431 Turning to the Southern Hemisphere, the annual average net surface heat flux for the
432 south polar cap is shown in Fig. 5(b). Of immediate concern is the anomalous non-zero field over
433 Antarctica, which is shared by the major ice sheets in both polar regions. Over grounded ice, the
434 MERRA subsurface energy flux is determined in the GEOS-5 model by the prognostic
435 temperature for a 7 cm (water-equivalent) surface ice layer and a “deep” layer temperature at 2 m
436 depth that is fixed at 230°K. Thus location of the zero value contour in Fig. 5(b) exactly matches
437 the annual averaged 230°K surface temperature isotherm. Observations from automatic weather
438 stations indicate that annual mean subsurface conductive heat fluxes are not significant (e.g.,
439 Reijmer and Oerlemans, 2002), and annual surface energy flux patterns in MERRA over
440 Antarctica (as well as Greenland) are erroneous.

441 The pattern in the MERRA annual surface net energy flux in Antarctica is manifest as a
442 complementary distribution of downward (negative) turbulent and upward (positive) radiative
443 fluxes that are not balanced. MERRA annual mean latent heating exceeds 5 W m^{-2} only along
444 the East Antarctic coast in selected locations, and averages less than 1 W m^{-2} for the total
445 grounded ice sheet area. The annual averaged sensible heat flux over the ice sheet is uniformly
446 negative and is approximately contour-parallel with topography, with magnitudes greater than
447 $(-) 60 \text{ W m}^{-2}$ along the East Antarctic coastal escarpment decreasing to less than $(-) 10 \text{ W m}^{-2}$
448 over the central plateau. The annual mean net radiative flux field in MERRA is spatially more
449 uniform with values ranging from $(+)25$ to 35 W m^{-2} for East Antarctica, and smaller positive

450 values over West Antarctica. This results in the imbalances in the net surface heat flux as shown.
451 Over the interior plateau, net flux values are as large as $+15 \text{ W m}^{-2}$ while the net amounts at
452 lower elevations are negative and are less than -30 W m^{-2} over the East Antarctic coastal
453 escarpment. These errors in the net surface flux have relation to near-surface temperature biases.
454 As shown in Fig. 10, there is a considerable wintertime warm bias of 5°C at Amundsen-Scott
455 (90°S), while a summer cold bias of 5°C is found at Scott Base (78°S , 167°E). Visual
456 comparison with satellite-derived surface air temperatures in Comiso (2000) indicates that the
457 summer cold bias extends over the embayment regions.

458 Comparisons of surface energy budget components are made with Antarctic station
459 values compiled by King and Turner (1997). Values compiled by King and Turner (1997) reflect
460 studies of opportunity and do not account for interannual variability. This may be partially
461 compensated for by noting the variability in the 1979-2005 MERRA record. For the sensible heat
462 flux, MERRA averages at Mizuho (71°S , 44°E) of $-47 \pm 8 \text{ W m}^{-2}$ in July and $-19 \pm 4 \text{ W m}^{-2}$ in
463 December compare with observational values of -37 and -25 W m^{-2} for July and December,
464 respectively (Ohata et al., 1985). At South Pole, differences in seasonal values of the sensible
465 heat flux are largest in spring and summer. The December-January-February sensible flux
466 average from MERRA is $-3 \pm 1 \text{ W m}^{-2}$ and -22 W m^{-2} in observation (Carroll, 1982). This
467 contributes to a difference of 9 W m^{-2} in the annual average.

468 Large differences from observation are also associated with the net radiative flux. At
469 Halley (76°S , 26°W), the annual average net radiative flux for MERRA of $13 \pm 3 \text{ W m}^{-2}$
470 approximates the observational values of 9.8 W m^{-2} , however seasonal differences are as large
471 as 10 W m^{-2} in winter. At South Pole, the annual net radiative flux of $19 \pm 1 \text{ W m}^{-2}$ matches the
472 observation of Carroll (1982), however seasonal differences are large. In winter, the net radiative

473 surface cooling of $36\pm 2 \text{ W m}^{-2}$ exceeds the observed value of 21 W m^{-2} . In summer, the
474 MERRA radiative flux value of $-7\pm 1 \text{ W m}^{-2}$ differs from the Carroll (1982) value of $+18 \text{ W m}^{-2}$.

475 The surface radiative flux differences at South Pole are examined using the observations
476 of Dutton et al. (1989), who recorded daily mean radiative flux components from April 1986
477 until February 1988. Over this period, the surface net radiative flux for both MERRA and
478 observation is positive for most of the year but becomes negative in summer months as seen in
479 Fig. 11. Over the 22 month period, the downwelling longwave radiative flux is consistently less
480 than observation by an average $24\pm 6 \text{ W m}^{-2}$. This difference is apparent in the comparison of
481 daily values in Fig. 11. Large biases are also found in the MERRA net shortwave radiative flux
482 in spring and summer. For the month of January, the net downward shortwave flux is
483 overestimated by 20 W m^{-2} in 1987, and by 23 W m^{-2} in 1988. A minor part of the shortwave
484 bias is associated with the MERRA surface albedo, which is fixed over land ice at 0.775.
485 Observed monthly averages at South Pole indicate an albedo of between 0.80 and 0.89. These
486 differences in the shortwave flux partially cancel the downwelling longwave underestimate in
487 summer. It may be seen from Fig. 11 that some of the day-to-day variability in the downwelling
488 longwave radiative flux is reproduced in MERRA. By subtracting a 30-day running mean from
489 each time series to remove the annual cycle, the two curves have a correlation of 0.70.

490 The annual cycle of the net surface flux for the Southern Ocean is shown in Fig. 3(c).
491 Using ECMWF operational analyses overlapping the period of the ERBE study, Okada and
492 Yamanouchi (2002) examined the atmospheric energy budget for the region bounded by 60°S
493 and 70°S . Okada and Yamanouchi estimated the surface energy budget as the residual using
494 TOA ERBE radiation and analyses divergence terms. A seasonal asymmetry in the net surface
495 flux was highlighted, which was found to abruptly peak in May with a maximum value of

496 116 W m^{-2} . Okada and Yamanouchi (2002) attributed this asymmetry to the latent heat release
497 resulting from sea ice formation. As seen in Fig. 3(c), the MERRA surface energy flux over the
498 Southern Ocean sea ice domain is also asymmetric and peaks in May at $98 \pm 4 \text{ W m}^{-2}$, however
499 the maximum is not as striking as was found for the ECMWF analyses. In examining the
500 autumnal surface turbulent fluxes in MERRA, it is found that the total latent heat flux is a
501 maximum for the domain in April with 33 W m^{-2} . The latent heat flux then diminished over ice
502 covered winter months, with a second maxima in November of 28 W m^{-2} . The MERRA sensible
503 heat flux reaches its annual maximum in May of 21 W m^{-2} and generally reflects the shape of
504 surface net flux. The asymmetry in the annual cycle for the MERRA net surface flux as shown in
505 Fig. 3(c) is principally due to seasonal changes in the sensible heat flux. In reanalyses, sea ice
506 cover is prescribed from observational fields. The latent heat flux arising from ice formation is
507 manifest as the net conductive flux at the atmosphere-ice interface. In this context, MERRA and
508 the results of Okada and Yamanouchi (2002) are broadly consistent.

509

510 **4. Summary and Discussion**

511 MERRA reproduces the basic patterns of energy flow in the polar atmosphere as they are
512 known. As shown in Fig. 3, the polar regions are marked by a convergence of energy from lower
513 latitudes for all months, and a loss of energy at the top of the atmosphere for the most of the year.
514 In the Arctic, reductions in the TOA shortwave radiative flux in autumn produce a negative
515 tendency in the atmospheric column total energy throughout the period August through January,
516 which is moderated by contributions from the net surface flux and increased energy transport
517 from lower latitudes in winter (Serreze et al., 2007). In the Antarctic, this seasonal progression is

518 less sinusoidal, with the net TOA radiative flux remaining negative throughout the year, and an
519 extended winter period in the energy budget components extending from April to September.

520 Despite reproducing these essential components, MERRA energy budgets for the Arctic
521 and Antarctic contain substantial errors owing to overly simplistic physical parameterizations,
522 including sea ice albedo, the surface heat budget over permanent land ice, and cloud radiative
523 properties. Difficulties in MERRA with sea ice characteristics are not dissimilar from those
524 described in Bretherton et al. (2000) for ECMWF analyses produced during SHEBA, and indeed
525 the discrepancies in surface shortwave radiative fluxes are similar. Spring is a critical period for
526 evaluation of surface flux fields in the Arctic, and differences between MERRA shortwave
527 surface radiative fluxes with observation are most prominent in May. Over the data sparse
528 Southern Ocean, discontinuities in the time series of TOA radiative fluxes coincide with the
529 introduction of AMSU satellite data in November 1998 and are therefore spurious. Elsewhere,
530 interannual variability of the IAU budget adjustment quantity is large but not as easily linked to
531 changes in the observing system. Additional characterization of IAU variables, including their
532 vertical distribution, and MERRA cloud properties are conspicuous points for further evaluation.

533 MERRA nevertheless compares favorably to previous studies of energy budget
534 components produced from state and dynamical variables. Atmospheric energy convergence and
535 the spatial distribution of transport along the 70° parallel compare closely with previous studies
536 in the Northern Hemisphere, while estimates for the south polar cap are qualitatively similar but
537 may also be seen as an update to studies based on earlier analyses. The total atmospheric
538 energy tendency in polar regions also compares favorably to previous studies.

539 Credible estimates of the atmospheric energy budget in polar regions continue to be a
540 significant challenge due to changes in the observing system and complex energy feedback

541 mechanisms that are associated with the high latitudes. Evaluation using both representative
542 point location observations and previous area-averaged estimates such as those used in this study
543 are valuable for providing a straightforward appraisal of new reanalyses. The MERRA system is
544 an important product due to its alternative construction, including a non-spectral background
545 model and its emphasis on NASA satellite products. An important concept used in MERRA is
546 the employment of the incremental analysis update, or IAU, for identifying differences between
547 observations and the background analysis system. Inconsistencies in atmospheric budgets are
548 quantified in the IAU, which is one means of measuring uncertainty.

549 A general criticism of reanalyses is that they are produced with the intent of providing the
550 best representation of conditions for a given time without consideration for the impact of
551 heterogeneous observations on temporal variability (Thorne and Vose, 2010). This intent
552 nevertheless has practical, scientific application. Additionally, reanalyses may be seen as part of
553 a spectrum of products for climate study ranging from heterogeneous observations to model
554 simulations, which include AMIP fields and sparse data reanalyses (e.g., Compo et al., 2006). As
555 part of that continuum, the IAU in MERRA provides a quantification of differences between
556 observations and the background system. Changes in the spatial and temporal variability of the
557 IAU imply changes to the observing system, which should be carefully treated in evaluating time
558 series. MERRA is a valuable record for examining the polar atmosphere when these cautions are
559 exercised.

560

561

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573

574

APPENDIX

575

Representation of the Atmospheric Energy Budget Using MERRA Variables

576

577

The following MERRA variables are defined as follows:

578

579			
580	<i>DQVDT_DYN</i>	Vertically integrated water vapor tendency for dynamics	$\text{kg m}^{-2} \text{s}^{-1}$
581	<i>DQVDT_PHY</i>	Vertically integrated water vapor tendency for physics	$\text{kg m}^{-2} \text{s}^{-1}$
582	<i>DQVDT_ANA</i>	Vertically integrated water vapor tendency for analysis	$\text{kg m}^{-2} \text{s}^{-1}$
583	<i>DQLDT_DYN</i>	Vertically integrated liquid water tendency for dynamics	$\text{kg m}^{-2} \text{s}^{-1}$
584	<i>DQLDT_PHY</i>	Vertically integrated liquid water tendency for physics	$\text{kg m}^{-2} \text{s}^{-1}$
585	<i>DQLDT_ANA</i>	Vertically integrated liquid water tendency for analysis	$\text{kg m}^{-2} \text{s}^{-1}$
586	<i>DQIDT_DYN</i>	Vertically integrated ice water tendency for dynamics	$\text{kg m}^{-2} \text{s}^{-1}$
587	<i>DQIDT_PHY</i>	Vertically integrated ice water tendency for physics	$\text{kg m}^{-2} \text{s}^{-1}$
588	<i>DQIDT_ANA</i>	Vertically integrated ice water tendency for analysis	$\text{kg m}^{-2} \text{s}^{-1}$
589	<i>EVAP</i>	Surface evaporation	$\text{kg m}^{-2} \text{s}^{-1}$
590	<i>PRECTOT</i>	Total surface precipitation flux	$\text{kg m}^{-2} \text{s}^{-1}$
591	<i>DQVDT_CHM</i>	Vertically integrated water tendency for chemistry	$\text{kg m}^{-2} \text{s}^{-1}$
592	<i>DQVDT_FIL</i>	Artificial “filling” of water vapor	$\text{kg m}^{-2} \text{s}^{-1}$
593	<i>DQLDT_FIL</i>	Artificial “filling” of liquid water	$\text{kg m}^{-2} \text{s}^{-1}$
594	<i>DQIDT_FIL</i>	Artificial “filling” of frozen water	$\text{kg m}^{-2} \text{s}^{-1}$

595

596 A tendency may be expressed as the sum of dynamics, physics, and analysis variables. For
 597 example, the tendency of vertically integrated water vapor (precipitable water) is expressed using
 598 MERRA variables as follows.

$$599 \quad \frac{\partial W_{(v)}}{\partial t} := DQVDT_DYN + DQVDT_PHY + DQVDT_ANA \quad (8)$$

600
 601 The analysis incremental update (IAU) is expressed by the “_ANA” variables. For atmospheric
 602 moisture, convergence is expressed by the dynamics variables. Equation (1) may then be written
 603 using MERRA variables as follows.

$$604 \quad (DQVDT_DYN + DQVDT_PHY + DQVDT_ANA$$

$$605 \quad \quad + DQLDT_DYN + DQLDT_PHY + DQLDT_ANA$$

$$606 \quad \quad + DQIDT_DYN + DQIDT_PHY + DQIDT_ANA)$$

$$607 \quad \quad - (DQVDT_DYN + DQLDT_DYN + DQIDT_DYN)$$

$$608 \quad = EVAP - PRECTOT + DQVDT_CHM +$$

$$609 \quad \quad (DQVDT_FIL + DQLDT_FIL + DQIDT_FIL)$$

$$610 \quad \quad + (DQVDT_ANA + DQLDT_ANA + DQIDT_ANA) \quad (9)$$

611
 612
 613 The following MERRA variables related to energetics are given as follows.

614			
615	<i>DKDT_DYN</i>	Vertically integrated kinetic energy tendency for dynamics	W m ⁻²
616	<i>DKDT_PHY</i>	Vertically integrated kinetic energy tendency for physics	W m ⁻²
617	<i>DKDT_ANA</i>	Vertically integrated kinetic energy tendency for analysis	W m ⁻²
618	<i>DHDT_DYN</i>	Vertically integrated <i>c_p·T_v</i> tendency for dynamics	W m ⁻²
619	<i>DHDT_PHY</i>	Vertically integrated <i>c_p·T_v</i> tendency for physics	W m ⁻²
620	<i>DHDT_ANA</i>	Vertically integrated <i>c_p·T_v</i> tendency for analysis	W m ⁻²
621	<i>DPDT_DYN</i>	Potential energy tendency for dynamics	W m ⁻²
622	<i>DPDT_PHY</i>	Potential energy tendency for physics	W m ⁻²
623	<i>DPDT_ANA</i>	Potential energy tendency for analysis	W m ⁻²
624	<i>CONVKE</i>	Vertically integrated convergence of kinetic energy	W m ⁻²
625	<i>CONVCPT</i>	Vertically integrated convergence of virtual enthalpy	W m ⁻²
626	<i>CONVPHI</i>	Vertically integrated convergence of geopotential	W m ⁻²
627	<i>SWTNT</i>	TOA outgoing shortwave flux	W m ⁻²
628	<i>SWGNT</i>	Surface net downward shortwave flux	W m ⁻²
629	<i>LWTUP</i>	Upward TOA longwave flux	W m ⁻²
630	<i>LWGNT</i>	Net downward longwave flux at the surface	W m ⁻²
631	<i>EFLUX</i>	Latent heat flux (positive upward)	W m ⁻²
632	<i>HFLUX</i>	Sensible heat flux (positive upward)	W m ⁻²
633	<i>PRECSN</i>	Frozen precipitation at the surface	kg m ⁻² s ⁻¹
634	<i>DKDT_GEN</i>	Generation of kinetic energy	W m ⁻²

635 *TEFIXER* Total energy added by artificial energy “fixer” $W m^{-2}$

636

637 As noted previously, the contribution of spurious residuals in the energy term is computed as the
 638 net difference between local contributions and the global mean. Following Suarez et al. (2010),
 639 this contribution may be represented as:

$$640 \quad Q_{NUM} := -DKDT_DYN + CONVKE + CONVPHI$$

$$641 \quad \quad \quad + DKDT_GEN - DPDT_DYN - TEFIXER \quad (10)$$

642

643 Equation (4) is represented as follows.

$$644 \quad \frac{\partial A_E}{\partial t} := L_v \cdot (DQVDT_DYN + DQVDT_PHY + DQVDT_ANA)$$

$$645 \quad \quad \quad - L_f \cdot (DQIDT_DYN + DQIDT_PHY + DQIDT_ANA)$$

$$646 \quad \quad \quad + DHDT_DYN + DHDT_PHY + DHDT_ANA$$

$$647 \quad \quad \quad + DPDT_DYN + DPDT_PHY + DPDT_ANA$$

$$648 \quad \quad \quad + DKDT_DYN + DKDT_PHY + DKDT_ANA \quad (11)$$

649

650 Equation (5) is represented as:

$$651 \quad \nabla \cdot \tilde{\mathbf{F}}_A := -(L_v \cdot DQVDT_DYN - L_f \cdot DQIDT_DYN$$

$$652 \quad \quad \quad + CONVKE + CONVCPT + CONVPHI) \quad (12)$$

653

654 Equations (6) and (7) are represented as follows.

$$655 \quad R_{top} + F_{sfc} := (SWTNT - LWTUP) - (SWGNT + LWGNT)$$

$$656 \quad \quad \quad + EFLUX + HFLUX + L_f \cdot PRECSN \quad (13)$$

657

658 The remainder of equation (3) is given as follows.

$$659 \quad L_v \frac{\partial W_v}{\partial t} \Big|_{CHM} + \left[L_v \frac{\partial W_v}{\partial t} - L_f \frac{\partial W_i}{\partial t} \right]_{FIL} + \varepsilon_{IAU(E)} :=$$

$$660 \quad L_v \cdot DQVDT_CHM + (L_v \cdot DQVDT_FIL - L_f \cdot DQIDT_FIL)$$

$$661 \quad \quad \quad + (L_v \cdot DQVDT_ANA - L_f \cdot DQIDT_ANA + DHDT_ANA$$

$$662 \quad \quad \quad + DKDT_ANA + DPDT_ANA) \quad (14)$$

663

664

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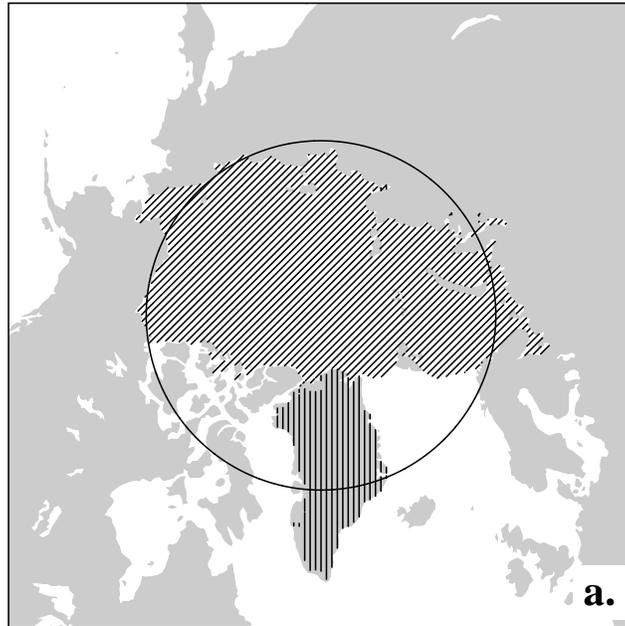
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TABLE 1. Components of the MERRA atmospheric energy budget for regions defined in Fig. 1, in W m^{-2} . The surface flux F_{sfc} discounts latent heating from solid precipitation. Uncertainty is indicated with the standard deviation over the 1979-2005 time period.

		$\partial A_E / \partial t$	$-\nabla \cdot F_A$	R_{top}	F_{sfc}	$\varepsilon_{IAU(E)} - Q_{NUM}$
70°N – 90°N	January	-1 ± 11	110 ± 16	-173 ± 4	63 ± 5	-5 ± 9
	July	2 ± 6	81 ± 8	2 ± 3	-68 ± 4	-13 ± 5
	Mean	0 ± 2	99 ± 4	-110 ± 1	19 ± 1	-11 ± 5
Arctic Ocean	January	-3 ± 13	106 ± 19	-176 ± 4	70 ± 5	-5 ± 14
	July	3 ± 9	96 ± 10	-7 ± 4	-75 ± 6	-9 ± 6
	Mean	0 ± 2	99 ± 6	-114 ± 1	23 ± 2	-9 ± 7
Greenland	January	-2 ± 19	143 ± 26	-153 ± 6	-1 ± 4	4 ± 16
	July	1 ± 8	118 ± 19	-48 ± 1	-40 ± 1	-32 ± 16
	Mean	0 ± 2	138 ± 9	-112 ± 1	-16 ± 1	-14 ± 9
70°S – 90°S	January	-1 ± 10	78 ± 10	-25 ± 2	-32 ± 2	-23 ± 8
	July	-10 ± 13	131 ± 16	-142 ± 3	19 ± 2	-21 ± 10
	Mean	0 ± 1	118 ± 6	-101 ± 1	3 ± 1	-22 ± 6
Southern Ocean	January	2 ± 6	97 ± 17	58 ± 10	-114 ± 9	-40 ± 17
	July	-7 ± 8	91 ± 15	-174 ± 1	82 ± 3	-11 ± 13
	Mean	0 ± 1	89 ± 5	-83 ± 2	12 ± 2	-23 ± 5
Antarctica	January	-2 ± 10	80 ± 11	-41 ± 1	-21 ± 1	-21 ± 8
	July	-9 ± 12	135 ± 18	-134 ± 3	7 ± 2	-20 ± 10
	Mean	0 ± 1	124 ± 5	-101 ± 1	-3 ± 1	-23 ± 5

Northern Hemisphere



Southern Hemisphere

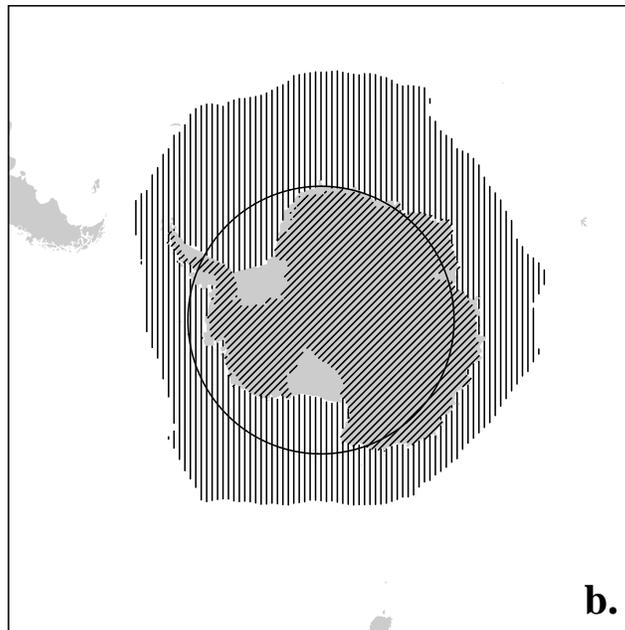


FIGURE 1. Regions of study for (a.) the Northern Hemisphere and (b.) the Southern Hemisphere. Bold line indicates the 70° parallel. Continental areas are shaded gray.

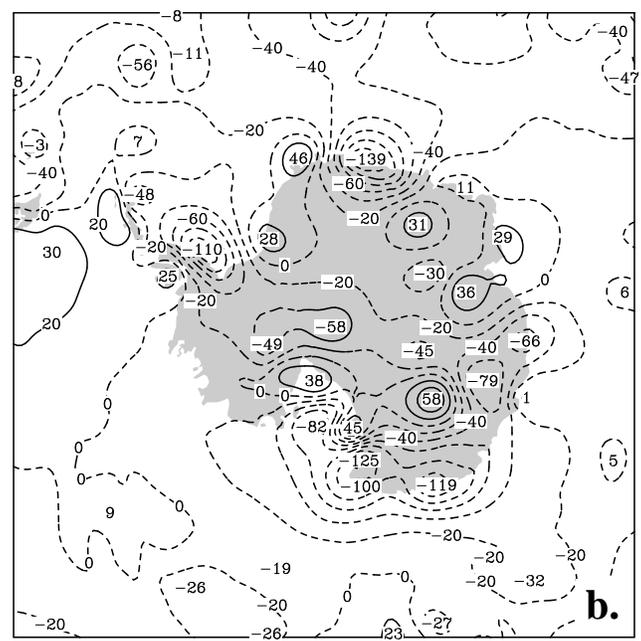
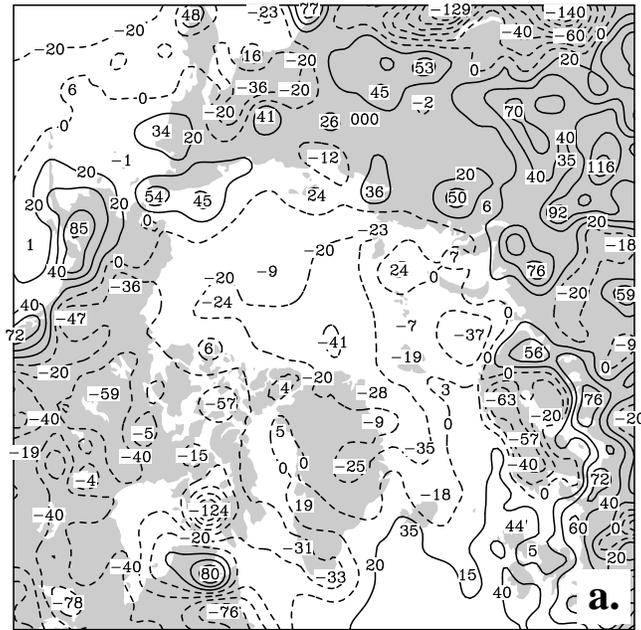


FIGURE 2. Average MERRA analysis incremental update field for the atmospheric energy budget for (a.) the Northern Hemisphere and (b.) the Southern Hemisphere. The contour interval is 20 W m^{-2} .

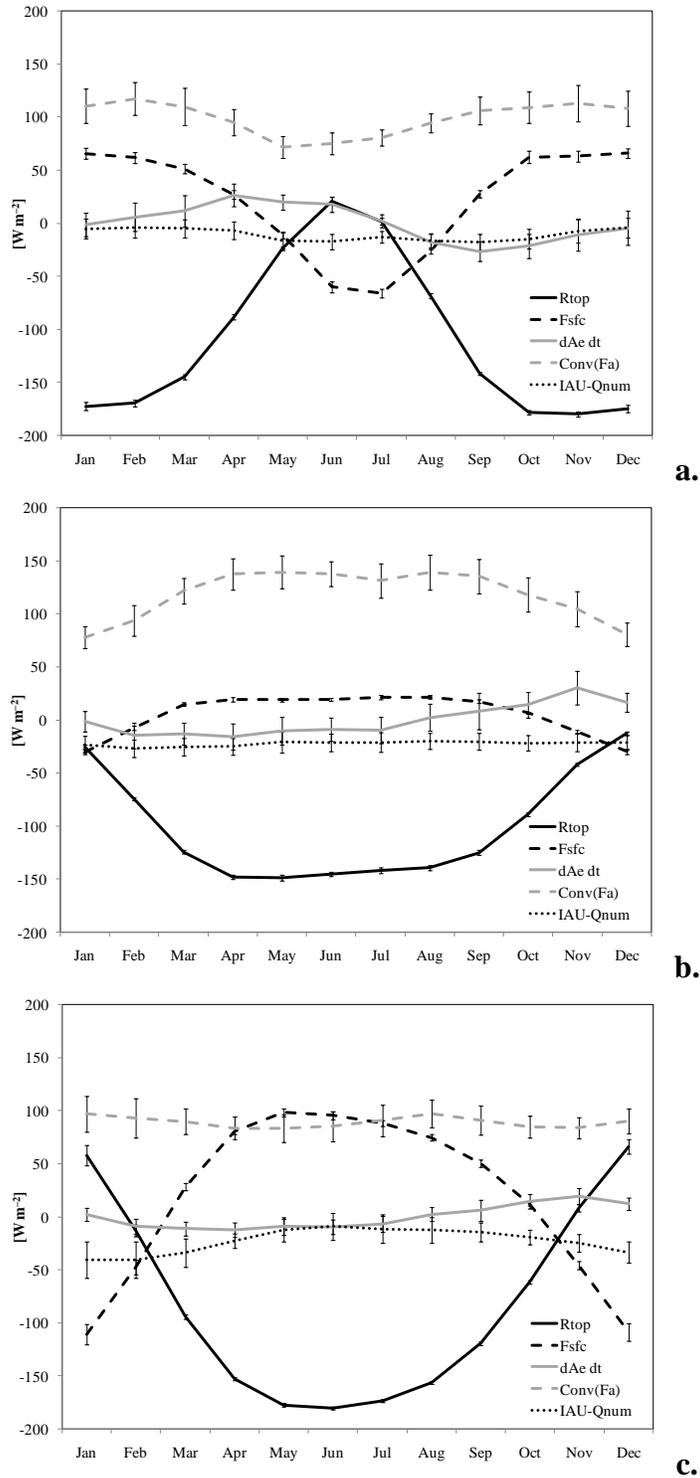


FIGURE 3. Annual cycle of atmospheric energy budget components in MERRA for (a.) north polar cap, (b.) south polar cap, and (c.) the Southern Ocean domain, in W m^{-2} . Bars indicate plus and minus the standard deviation for the period 1979-2005.

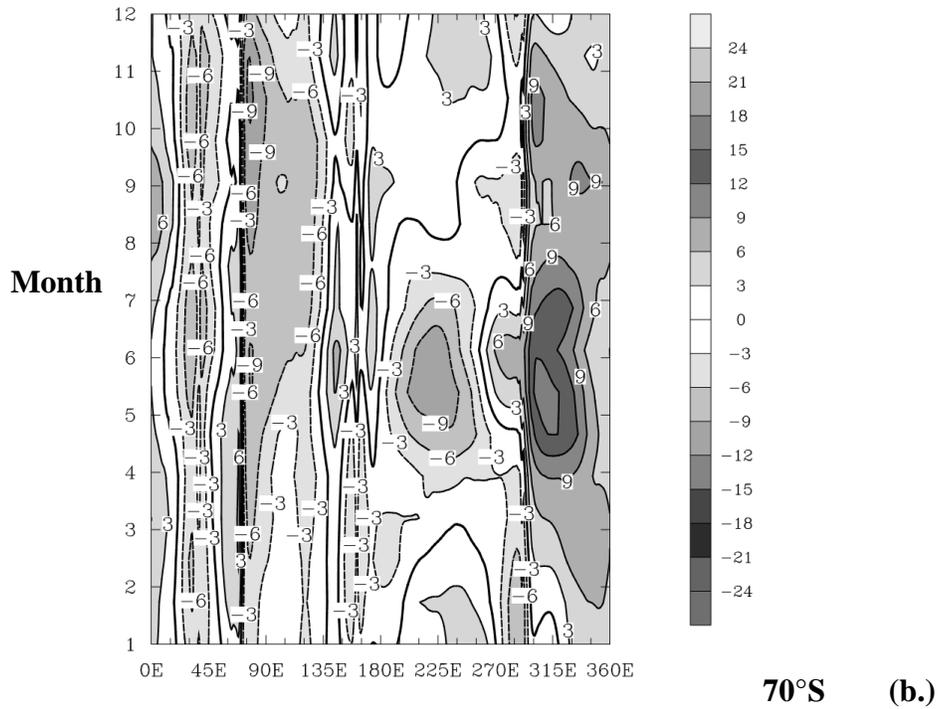
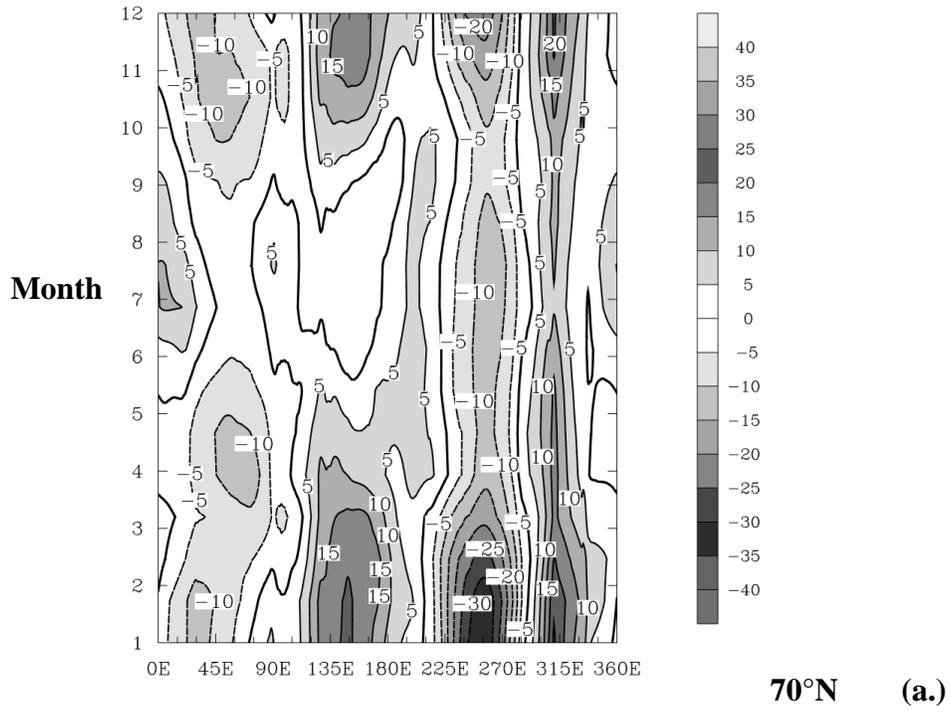


FIGURE 4. Average monthly meridional energy transport from MERRA (a.) across 70°N, contoured every $5 \cdot 10^9 \text{ W m}^{-1}$, and (b.) 70°S, contoured every $3 \cdot 10^9 \text{ W m}^{-1}$. Positive values indicate northward transport.

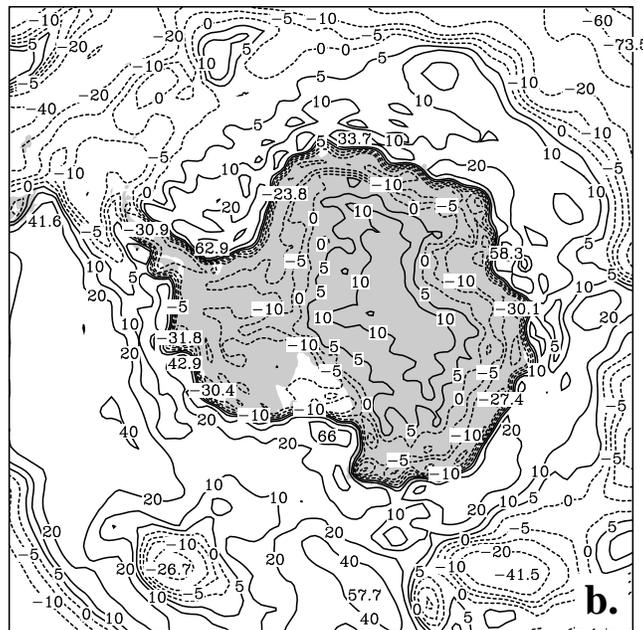
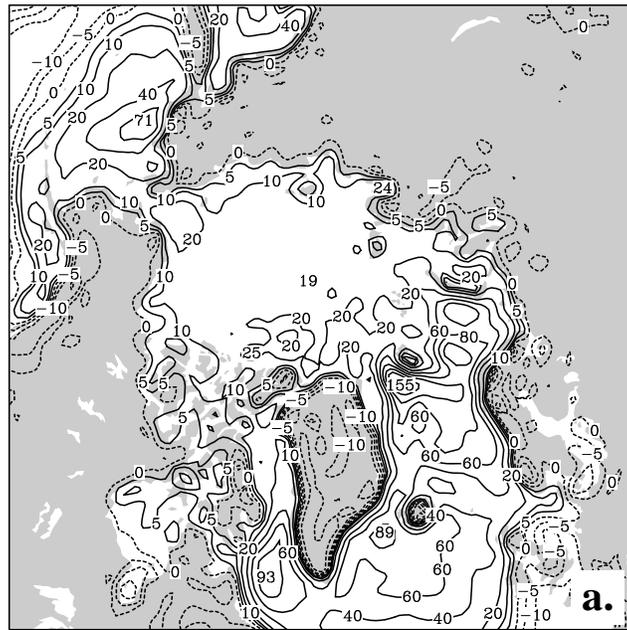


FIGURE 5. Annual average surface heat flux. The fields have been smoothed using a simple nine-point weighted algorithm. Contours are plotted with an interval of 20 W m^{-2} and for the levels $-10, -5, 0, 5,$ and 10 W m^{-2} .

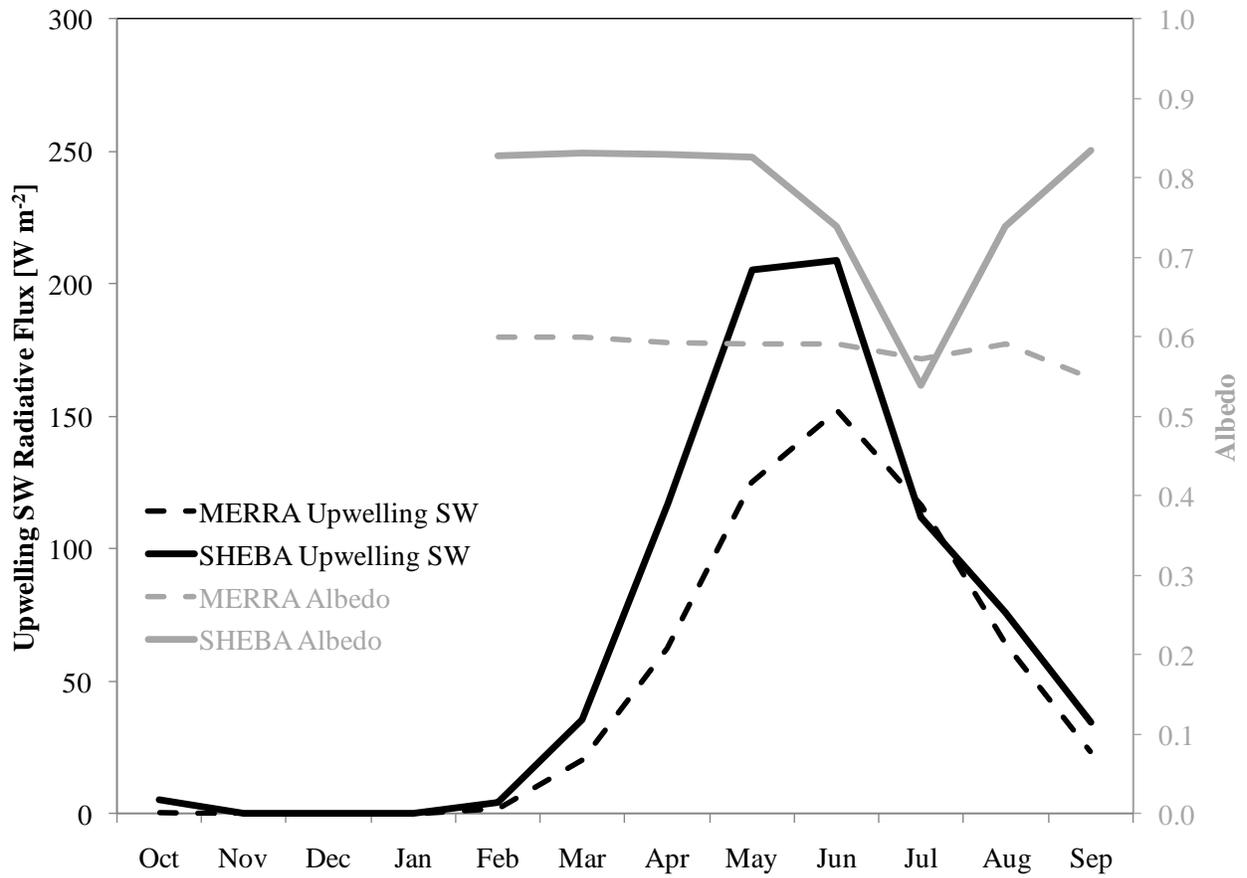


FIGURE 6. Monthly averaged surface albedo (gray) and upwelling shortwave radiative flux (dark) for observed (solid) and corresponding MERRA values (dashed) for October 1997 to September 1998, in W m^{-2} .

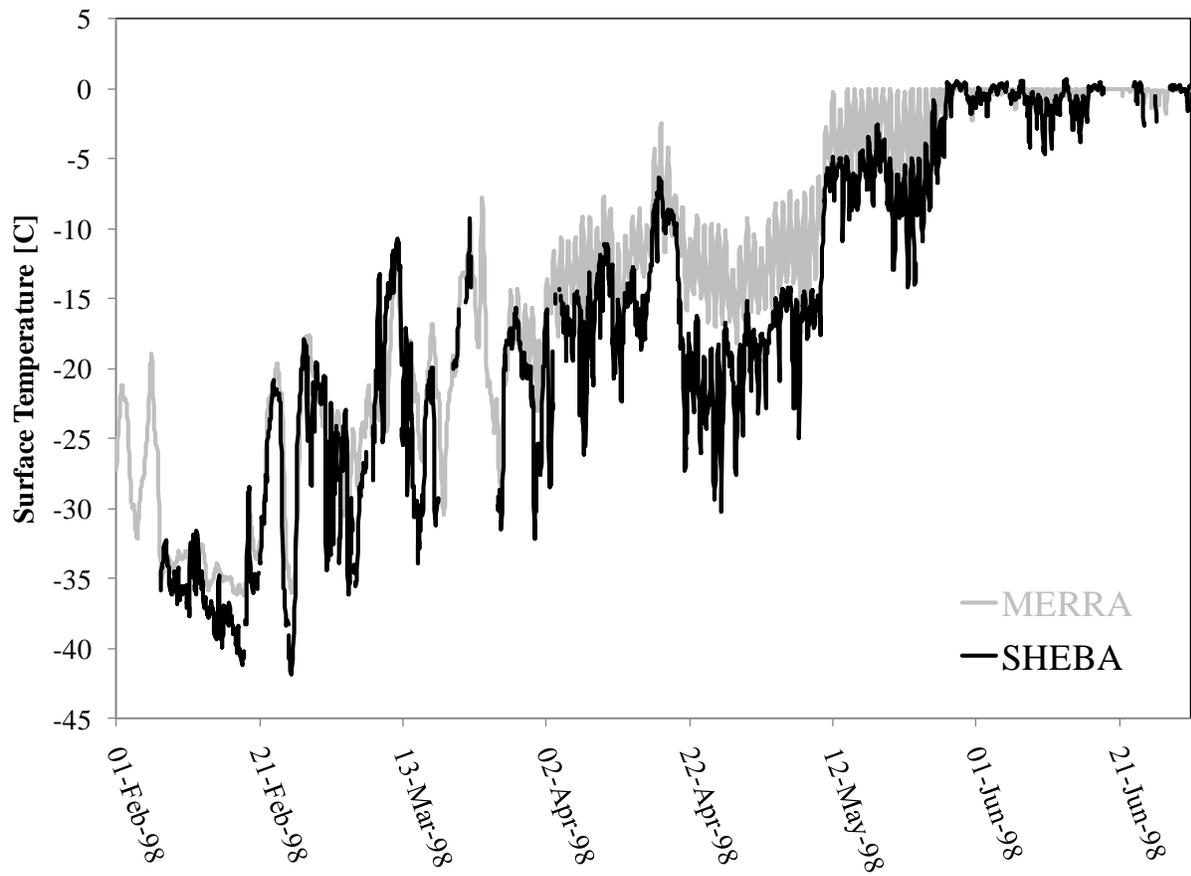


FIGURE 7. Near-surface hourly air temperature from SHEBA and corresponding values from MERRA for the period 1-February 1998 to 30-June 1998, in degrees C.

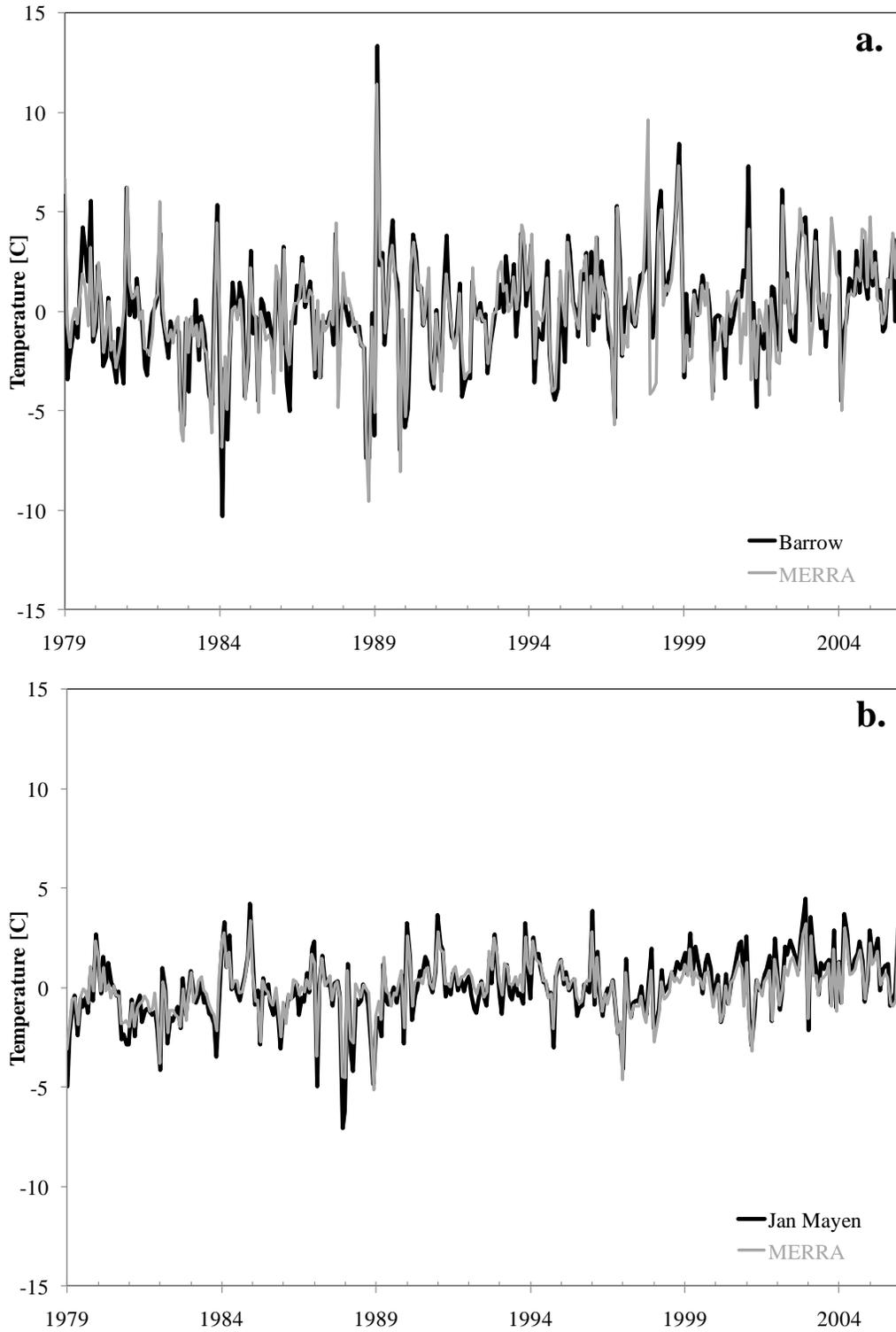


FIGURE 8. Time series of monthly averaged near-surface station air temperature anomaly and corresponding MERRA values for Barrow (left, 71°N, 157°W) and Jan Mayen (right, 71°N, 9°W), in degrees C.

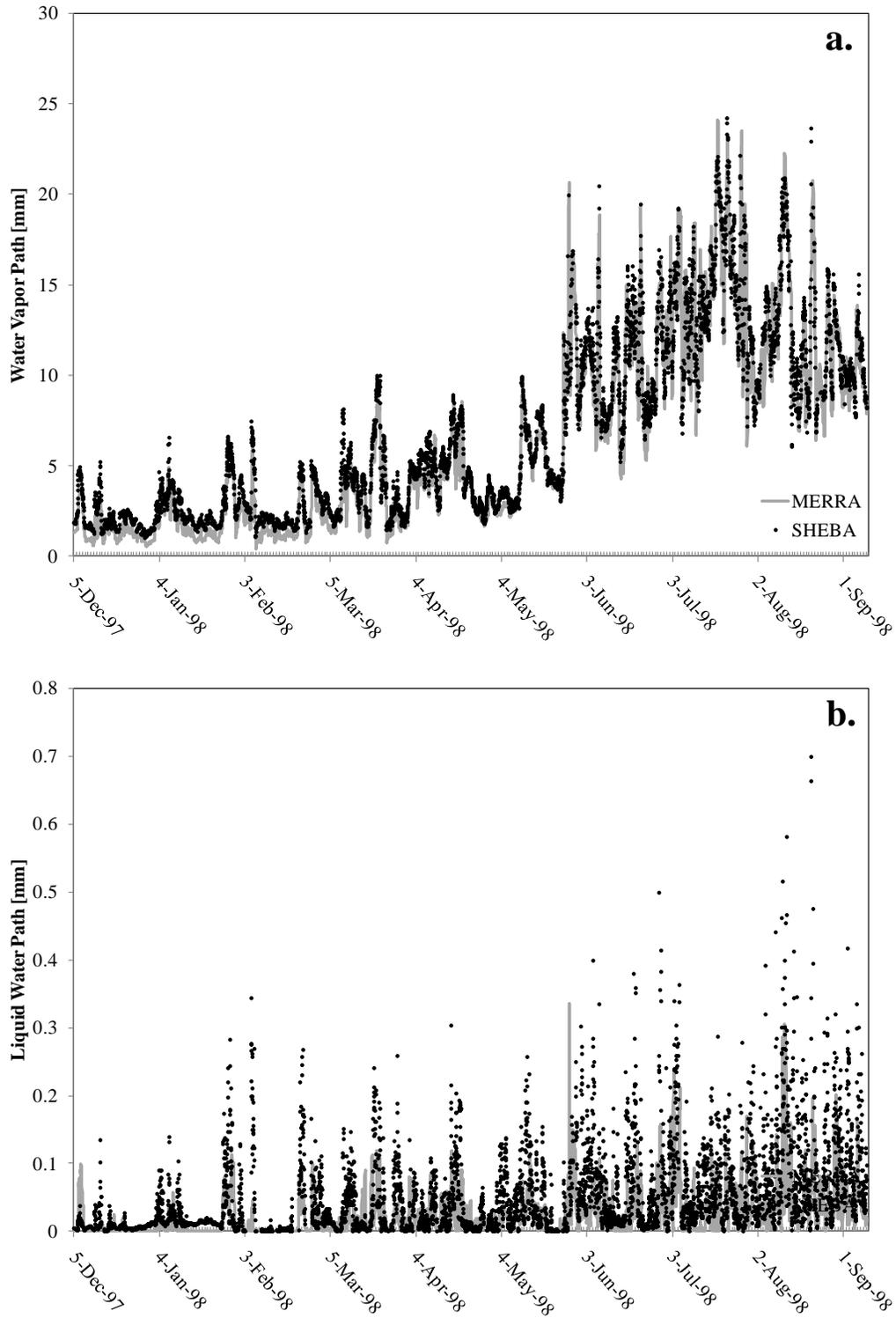


FIGURE 9. Hourly (a.) precipitable water and (b.) liquid water path from SHEBA microwave radiometer and corresponding MERRA values, in mm.

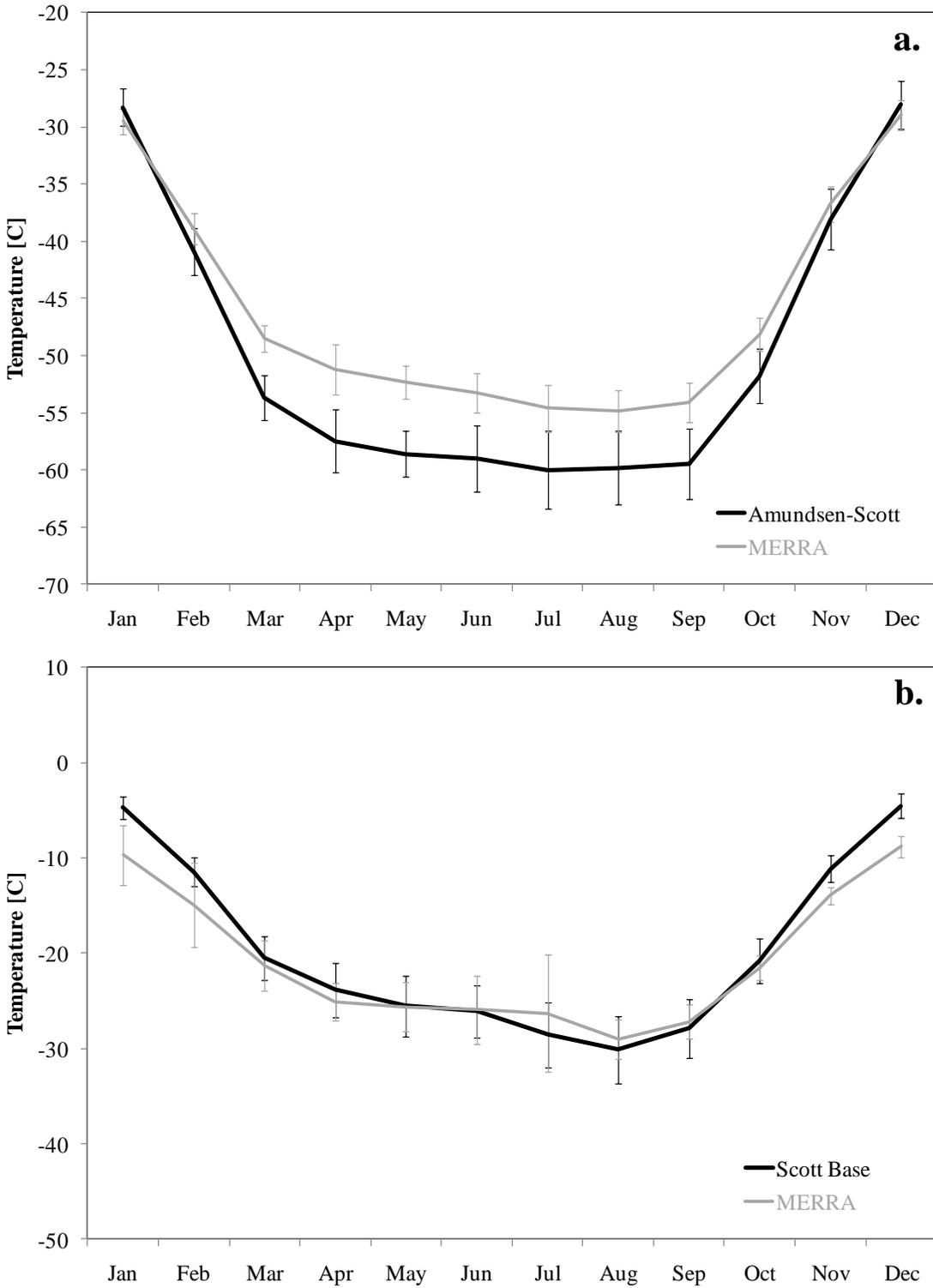


FIGURE 10. Average annual time series for near surface station temperature and corresponding MERRA values for (a.) Amundsen-Scott (90°S), and (b.) Scott Base (78°S, 167°E), in degrees C. Bars indicate the standard deviation of monthly values over the period 1979-2005.

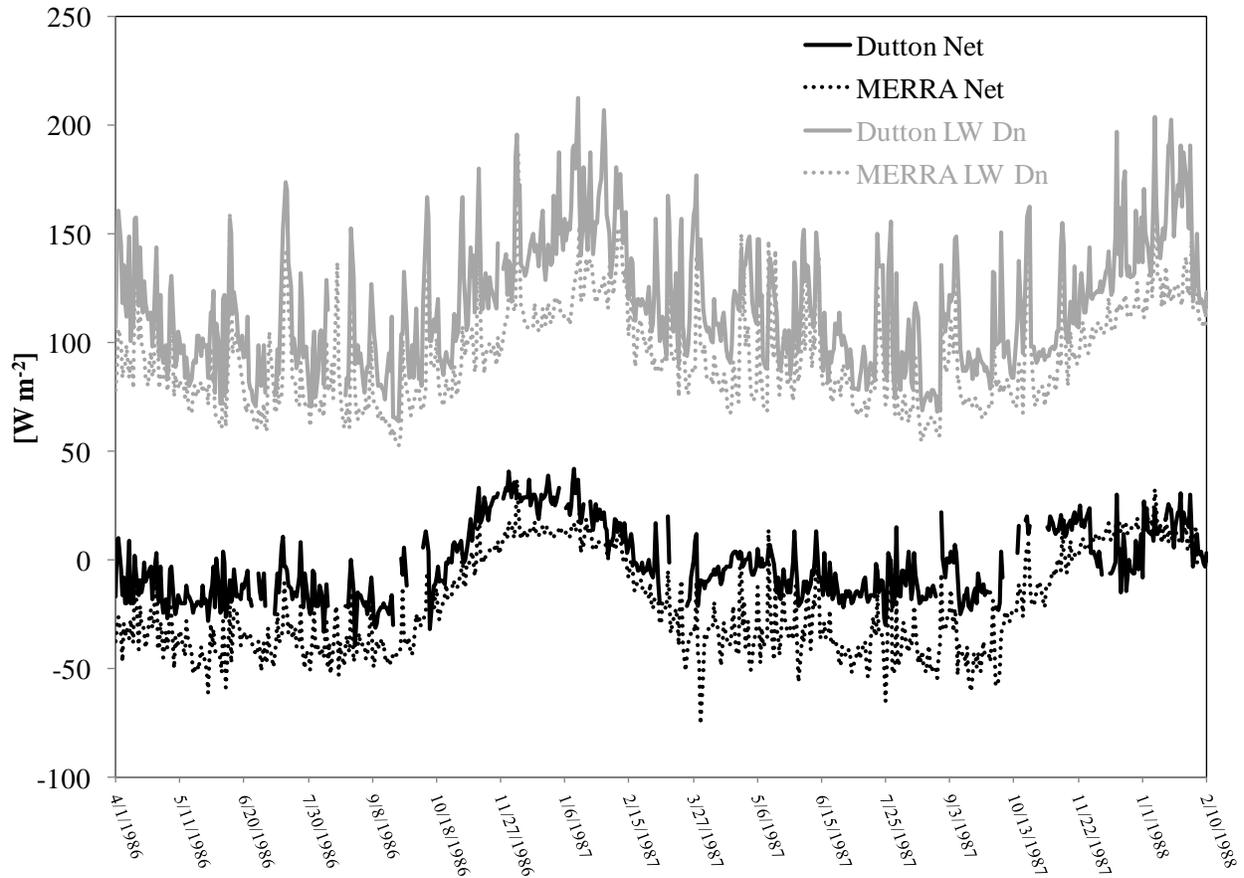


FIGURE 11. Time series of daily downwelling longwave flux and the net downward flux from Dutton et al. (1989) and corresponding values from MERRA for 90°S, in W m^{-2} .